JAN 2 4 2007 B

4 2007
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Customer Number: 20277

Kouichi OKUGAMI, et al.

Docket No.: 070456-0016

Confirmation Number: 4835

Application No.: 10/787,221

Group Art Unit: 3682

-. Filed: February 27, 2004

Examiner: Justin Krause

For: TRANSMISSION COMPONENT, METHOD OF MANUFACTURING THE SAME, AND TAPERED

ROLLER BEARING

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

In accordance with 37 CFR 1.17(p), please charge the fee of \$180.00 to Deposit Account No.

500417.

01/25/2007 MAHMED1 00000061 500417 10787221

01 FC:1806

180.00 DA

The references JP 2002-339054 A, JP 10-204612 A, JP 10-168515 A, and JP 2-125841 A was cited in a Japanese Office Action issued in Japanese Patent Application No. 2002-194804,

10/787,221

dated November 28, 2006 and its relevance is discussed therein. A copy of the foreign office action,

together with an English language version thereof, is attached for the Examiner's information.

The references JP 10-68419 A, JP 8-49057 A, JP 9-316601 A, JP 10-204612. and JP 5-

163563 A were cited in a Japanese Office Action issued in Japanese Patent Application No. JP

2002-194921, dated November 28, 2006 and their relevance is discussed therein. A copy of the

foreign office action, together with an English language version thereof, is attached for the Examiner's

information.

The references JP 2002-180203 A, JP 2002-256336 A, JP 7-27139 A,

JP 2000-129347 A, and JP 11-140543 A were cited in a Japanese Office Action issued in Japanese

Patent Application No. JP 2002-303036, dated October 24, 2006 and their relevance is discussed

therein. A copy of the foreign office action, together with an English language version thereof, is

attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including

extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit

account.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

Please recognize our Customer No. 20277 as

Bernard P. Codd

Registration No. 46,429

600 13th Street, N.W.

Washington, DC 20005-3096 Phone: 202.756.8000 BPC:vmm

Facsimile: 202.756.8087

Date: January 24, 2007

our correspondence address.

2

CITATION DISCLOSURE CITATION IN AN OIPE APPLICATION					1		SERIAL NO. 10/787,221					
ΙΔΝ 9 4 7007	NO A				APPLICANT Kouichi OKUGA	APPLICANT Kouichi OKUGAMI, et al.						
(PTO-1449) U.S. PATENT					FILING DATE February 27, 20	FILING DATE GROUP February 27, 2004 GROUP 3682						
MDEN			U	.S. PATEN	T DOCUMENTS							
EXAMINER'S INITIALS	CITE NO.	Nur	Document Number mber-Kind Code2 (if known)	Publication Date MM-DD-YYYY		Name of Patentee or Applicant of Cited Document			d Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		υs					_					
		US			- 4							
		US		· · · · · · · · · · · · · · · · · · ·								
		US		· · · · · · · · · · · · · · · · · · ·								
		us										
		us	· · · · · · · · · · · · · · · · · · ·									
		US										
		US										
		US		50051011.01	TENT DOOUNENTS							
EVAMINEDIO		L Co.	roine Datant Danisant		TENT DOCUMENTS	I D C-1		T1-4				
EXAMINER'S INITIALS	CITE NO.			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document			Translatio Yes	n No			
		J	IP 2002-339054 A	11-27-2002	DAIDO STEEL CO LTD, NISSAN MOTOR CO LTD			JAPAN (w/English abstract)				
			JP 10-204612 A	08-04-1998	NIPPON SEIKO KK			JAPAN (English abstract only)				
			JP 10-168515 A	06-23-1998	NIPPON SEIKO KK			JAPAN (English abstract only)				
			JP 2-125841 A	05-14-1990	NIPPON SEIKO KK			JAPAN (English abstract only)				
l		L	OTUED AT	RT (Including Auth	or, Title, Date, Pertinent Pages, E	I to)		J				
EXAMINER'S		Includ		• •	<u> </u>		the item (boo	ok, magazine.				
INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.										
		Japanese Office Action issued in Japanese Patent Application No. 2002-194804, dated November 28, 2006										
	EXAMINER DATE CONSIDERED											

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

						·			<u> </u>		
INFORMATION DISCLOSURE					ATTY, DOCKET NO.	1	SERIAL NO				
				JOIL .	070456-0016		10/787,2	21			
	CH	ΑI	TON IN AN		0.0400 00.0	İ	,_	_ '			
APPLICATION						l					
ATTLICATION											
					APPLICANT						
					Kouichi OKUGAMI, et al.						
		(D)			FILING DATE GROUP						
		(PI	`O-1449)			February 27, 2004 3682					
					repruary 27, 20						
			U	S. PATEN	T DOCUMENTS						
EXAMINER'S	CITE	1	Document Number	Publication Date		Name of Patentee or Applicant of Cited			Pages, Columns, Lines, Where		
INITIALS	NO.	Number-Kind Code _{2 (if known)} MM-DD-YYYY			Document	Document			Relevant Passages or Relevant Figures Appear		
							· igures Appear				
		US									
	<u> </u>	us	<u></u>					· · · · · · · · · · · · ·			
		us	<u> </u>	,		-					
		us						_			
		US									
		US									
		US									
		US									
		US									
	•	US									
		US									
					ATENT DOCUMENTS						
EXAMINER'S INITIALS	0175	Foreign Patent Document Country Codes - Number 4 - Kind		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document		Columns, Lines ere Relevant	Translation			
	CITE NO.	Codes (if known)		WINI-DO-TTTT	, pp. can or once becamen		ires Appear				
		 	JP 10-68419 A	03-10-1998	NIPPON SEIKO KK	 		JAPAN	T		
	į							(w/English			
								abstract)			
			JP 8-49057 A	02-20-1996	NIPPON SEIKO KK			JAPAN			
					1			(w/English abstract)			
		├ ──	JP 9-316601 A	12-09-1997	SANYO SPECIAL			JAPAN	<u> </u>		
			JP 9-310001 A	12-09-1997	STEEL CO LTD			(w/English			
								abstract)			
	\ <u></u>	†	JP 5-163563 A	06-29-1993	NACHI FUJIKOSHI			JAPAN	<u> </u>		
					CORP			(w/English			
		<u> </u>						abstract)			
						1	· · · · · · · · · · · · · · · · · · ·				
									<u> </u>		
	,				or, Title, Date, Pertinent Pages, I			<u> </u>			
EXAMINER'S INITIALS	0.75	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where									
INTIALS	CITE NO.		shed.	alog, etc.), date, p	age(3), volume-issue number(3), i	publisher, v	ony anaron count	, where			
		Ц.									
		Japanese Office Action issued in Japanese Patent Application No. JP 2002-194921, dated November									
	ļ	28, 2006.									
EVANINED DATE CONSIDERED											
		EX	AMINER	i r		DATE CO	ONSIDERED				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION							erial no. 0/787,221			
					APPLICANT Kouichi OKUGAMI, et al.					
(PTO-1449)					FILING DATE February 27, 20	GROUP 3682				
			U	.S. PATEN	T DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	Nur	Document Number nber-Kind Code2 (If known)	Publication Dat MM-DD-YYYY		icant of Cited		s, Where Relevant ir		
		US								
		US				- 				
		US								
		us								
		US								
		US						·		
		US								
		US								
		us								
					TENT DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Applicant of Cited Document Where F Figures		Transla Yes	No No	
		J	P 2002-180203 A	06-26-2002	NTN CORP			JAPAN (w/English abstract)	-	
		J	P 2002-256336 A	09-11-2002	NTN CORP			JAPAN (w/English abstract)	-	
			JP 7-27139 A	01-27-1995	NIPPON SEIKO KK			JAPAN (w/English abstract)		
			JP 11-140543 A	05-25-1999	NIPPON SEIKO KK			JAPAN (w/English abstract)		
		J	P 2000-129347 A	05-09-2000	KOBE STEEL LTD			JAPAN (w/English abstract)		
		L								
EXAMINER'S	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)									
INITIALS	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.									
		Japanese Office Action issued in Japanese Patent Application No. JP 2002-303036, dated October 24, 2006.								
		EX	AMINER		:	DATE CON	SIDERED			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.